



14230 U.S. PTO

Docket No. 212/507

03945 U.S. PTO
10/680995

Transmittal of Application

To: Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313

Sir: Enclosed for filing please find the following patent application
Inventors: Larry A. Spiegel
Title: Improved Retaining Ring For Wafer Carriers

Enclosed please also find the following papers:

Serial Number: _____

- ☒ 15 pages Specification; 4 pages claims; 1 pages abstract Filing Date October 7, 2003
- ☒ 3 sheets drawings (☐ informal ☒ formal)
- ☐ Declaration and Power of Attorney (☐ combined ☐ separate)
- ☒ The Invention is assigned to: Strasbaugh
- ☒ Claiming Small Entity Status
- ☐ Form PTO-1533
- ☐ Petition for Grant of Filing Date and Declaration in Support of Petition for Grant of Filing Date
- ☐ Preliminary Amendment
- ☐ Petition for Extension of Time
- ☒ Other: Information Disclosure Statement

The filing fee has been calculated as shown below:

Basic Filing Fee				\$770
Total Claims	17		18	\$0
Independent Claims	2		86	\$0
Multiple Dependent Claims	<input type="checkbox"/>		290	\$0
Surcharge 37 CFR 1.16(E)	<input type="checkbox"/>			\$0
Total Calculations				\$770
Reduction for Small Entity			<input checked="" type="checkbox"/> Applicant claims small entity status.	\$385
Assignment (\$40)	<input type="checkbox"/>			\$0
TOTAL FEES				\$385

- ☐ Check No. _____ in the amount of \$385 to cover the above fees is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the fees associated with this communication, any deficiency in the fee paid, or credit any overpayment, to Deposit Account 03-3700.

Date: October 7, 2003

By: Theodore D. Fay III
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Certificate of Mailing (37 CFR 1.10)

I hereby certify that this transmittal (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to the Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313, Mail Stop Patent Application.

Express Mail Label EV331240565USDate: October 7, 2003

Kristen Truong
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